

Box Patent Application Commissioner of Patents and Trademarks Washington, DC 20231

NEW APPLICATION TRANSMITTAL

1c895 U.S. PTC 09/634546

Transmitted herewith for filing is the patent application of

Inventor(s): Nimrod Megiddo

WARNING: Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title): A System for Enhancing Buyers Performance in Electronic Commerce

1	. Type	Λf	Ann	lica	tion
1	. IVDE	v.	AUU	HUZ	LIVII

This nev	w applica	tion is for a(n) (check one applicable item below):
	<u>X</u>	Utility	
		Design	
	_	Plant	
BENEFIT	OF A PR	following 3 iter IOR U.S. APPI PPLICATION.	ns apply them complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE LICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS
		Di	visional
		Co	entinuation
		Co	ntinuation-in-part (CIP)
NOTE: If	the new appational App	olication being to dication which NSMITTAL W	plication(s) (35 USC 120) Transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is designated the U.S., then check the following item and complete and attach ADDED PAGES FOR NEW HERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED. Polication being transmitted claims the benefit of prior U.S. application(s) and enclosed are aGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S.
	ers Enclo) Applica	APPLICAT	ION(S) CLAIMED. Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153
	13	Pages of Sp	ecification and Abstract
	_10	Pages of Cl	aims .

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5.

4.

10	Sheets of drawing			
	<u>X</u>	Formal		
	_	Informal		

NOTE: "Identifying indicia such as the serial number, group and unit, title of the invention, attorney's docket number, inventor's name, number of sheets, etc., not to exceed 2 3/4 inches (7.0cm in width may be placed in a centered location between the side edges within three fourths inch (19.1mm) of the top edge. Either this marking technique on the front of the drawing or the placement, although not preferred, of this information and the title of the invention on the back of the drawings is acceptable." Proposed 37 CFR 1.84(1). Notice of March 9, 1988 (1090 O.G. 57-62).

Additional I	Papers Enclosed						
	Preliminary Amendment						
<u>X</u>	Information Disclosure Statement (37 CFR 1.98)						
<u>X</u>	Form PTO-1449						
<u>X</u>	Citations (4 References)						
	Declaration of Biological Deposit						
_	Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.						
	Authorization of Attorney(s) to Accept and Follow Instructions from Representative						
	Special Comments Other						
Declaration	or Oath						
<u>X</u>	Enclosed executed by (check all applicable boxes)						
	X inventor(s).						
	legal representative of inventor(s). 37 CFR 1.42 or 1.43						
	joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.						
	this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See Item 13 below for fee.						

	Not Enclosed
_	Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).
NOTE: It is importa	nt that all the correct inventor(s) are named for filing under 37 CFR 1.41(c) and 1.53(b).
	Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d).
6. Inventorship	o Statement
	amed inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the d invention was made, should be submitted.
The Inv	ventorship for all the claims in this application are:
	_X the same
	or
	are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made.
	is submitted
	will be submitted
7. Language	
<u>X</u>	English
_	non-English
	The attached translation is a verified translation. 37 CFR 1.52(d).
8. Assignment	
<u>X</u>	An assignment of the invention to <u>INTERNATIONAL BUSINESS MACHINES CORPORATION</u>
<u>X</u>	is attached. A separate
	X "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or FORM PTO 1595 is also attached.
	will follow.

NOTE: "If an assignment is submitted with a new application, send two separate letters-one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

9. Certified Copy (35 USC 119)

Certified copy(ies) of application(s):

country)	(appln. no.)	(filed)
		The state of the s
(country)	(appln. no)	(filed)

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is/are	attached

____ will follow.

10. Fee Calculation (37 CFR 1.16)

A. <u>X</u> Regular application

CLAIMS AS FILED						
Number filed			Num. Extra		Rate	Basic Fee \$690.00
Total Claims	28	-20=	8	X	\$18.00	\$144.00
Independent Claims	4	-3=	1	X	\$78.00	\$78.00
Multiple dependent claim(s), if any				X	\$260.00	\$0.00

—	Amendment canceling extra claims enclosed.
	Amendment deleting multiple dependencies enclosed.
	Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing, they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

	Filing Fee Calculation	\$ <u>912.00</u>
В.	 Design application (\$310.0037 CFR 1.16(f))	
	Filing Fee Calculation	\$

C.	_		pplication 0037 CFR 1.16(g)) Filing Fee Calculation	\$			
11. 5	11. Small Entity Statement(s)						
		Verifie	d Statement(s) that this is a filing by a small entity unde	r 37 CFR 1.9 and 1.27 is/are attached.			
			Filing Fee Calculation (50% of A, B or C above)	\$			
12. I	Request for	r Interna	tional-Type Search (complete, if applicable)				
			prepare an international-type search report for this a ation on the merits takes place.	application at the time when national			
13. I	Fee Payme	nt Being	Made At This Time				
		Not En	closed				
		_	No filing fee is to be paid at this time. (This and the can be paid subsequently.)	surcharge required by 37 CFR 1.16(e)			
	<u>X</u>	Enclosed					
		<u>X</u>	basic filing fee	\$ <u>912.00</u>			
		<u>_X</u> _	recording assignment (\$40.00; 37 CFR 1.21(h))	\$_40.00			
		_	petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))	\$			
		_	for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))	\$			
			processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$			
			fee for international-type search report (\$40.00; 37 CFR 1.21(e))	\$			
			Total fees enclosed	\$ 952.00			

refund

X	Check in the amount of \$ 952.00
	Charge Account No. <u>12-0010</u> in the amount of \$ A duplicate of this transmittal is attached.
NOTE: Fees should be	e itemized in such a manner that it is clear for which purpose the fees are paid.
15. Authorizatio	n to Charge Additional Fees
WARNING: If no fees	s are to be paid on filing, the following items should not be completed.
WARNING: Accurate	ely count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized
	The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. <u>12-0010</u> :
	37 CFR 1.16(a), (f) or (g) (filing fees)
	37 CFR 1.16(b), (c) or (d) (presentation of extra claims)
	X Any deficiencies in the fees provided.
cancelled by amendme	onal fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims not prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency, it might be best not be charge additional fees, except possibly when dealing with amendments after final action.
	37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later
	than the filing date of the application.)
	37 CFR 1.17 (application processing fees)
-	37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR
	1.311(b)).
16. Instruction A	s To Overpayment
<u>X</u>	credit Account No. <u>12-0010</u>

Reg. No. 34,368 Tel. No. (703) 415-1015 SIGNATURE OF APPLICANT'S REPRES

SIGNATURE OF APPLICANT'S REPRESENTATIVE

Randy W. Lacasse

Lacasse & Associates 2001 Jefferson Davis Hwy, Suite 806 Arlington, VA 22202

<u>X</u>	Incorporation by reference of added pages			
		Check the following item if the application in this transmittal claims the benefit of prior U.S application(s) (including an international application entering the U.S. stage as a continuation divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.		
		Plus added pages for new application transmittal where benefit of prior U.S. application(s) claimed		
		Number of pages added		
	<u>X</u>	Plus added pages for papers referred to in Item 4 above Number of pages added . 2 pages plus 4 references		
	<u>X</u>	Plus "Assignment Cover Letter Accompanying New Application" Number of pages added 2 pages		

Statement Where No Further Pages Added